

# Flavon VEV scales in $U(3) \times U(3)'$ model

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# 1. Introduction

The purpose of the present paper is to fix VEV scales of flavons from the observed data.

Mass matrices based on  $U(3) \times U(3')$  :

Flavons	VEV forms	
$\Phi_f : (\mathbf{3}, \mathbf{3}^*)$	$\langle \Phi_f \rangle = v_\Phi \text{diag}(z_1 e^{i\phi_1^f}, z_2 e^{i\phi_2^f}, z_3 e^{i\phi_3^f})$	$X_3 = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$ $\mathbf{1} = \text{diag}(1, 1, 1)$
$\hat{S}_f : (\mathbf{1}, \mathbf{8} + \mathbf{1})$	$\langle \hat{S}_f \rangle = v_S (\mathbf{1} + b_f X_3)$	
$E : (\mathbf{8} + \mathbf{1}, \mathbf{1})$	$\langle \hat{E}_\circ \rangle = v_E \mathbf{1}$	

We shall see that  $\phi_i^f = 0$  for  $f = d, e, \nu$  in later.

Therefore, we hereafter denote  $(\phi_1^u, \phi_2^u, \phi_3^u)$  as  $(\phi_1, \phi_2, \phi_3)$  simply.

We will give  $b_e = 0$ , i.e.  $\hat{S}_e = v_S \mathbf{1}$ . Thereby we obtain the charged lepton mass matrix  $\hat{M}_e \propto \text{diag}(z_1^2, z_2^2, z_3^2)$ , from which we get a relation  $z_i = \frac{\sqrt{m_{ei}}}{\sqrt{m_e + m_\mu + m_\tau}}$ .

• Dirac mass matrices take a seesaw form common to all flavors.

$$(\hat{M}_f)_i^j \propto \langle \Phi_f \rangle_i^\alpha \langle \hat{S}_f^{-1} \rangle_\alpha^\beta \langle \bar{\Phi}_f \rangle_\beta^j, \quad (1.1)$$

# VEV scales of flavons:

The new model in this paper is characterized as follows:

- (i) There are no Yukawaons, although the flavons  $\Phi_f$  and  $\hat{S}_f$  still play an essential role in the new model, too.
- (ii) There are three type of VEV scales: (We consider  $\Lambda_1 \gg \Lambda_2 \gg \Lambda_3$ .)

$$\langle \hat{S}_f \rangle_{\bullet} \sim \Lambda_1, \quad \langle \Phi_f \rangle_{\circ} \sim \Lambda_2, \quad \langle E_{\circ\circ} \rangle \sim \Lambda_3. \quad (1.7)$$

We define  $\Lambda_1$  as  $\Lambda_1 = v_S$  in Eq.(1.2),  $\Lambda_2$  as  $\Lambda_2 = v_{\Phi}$  (except for  $f = \nu$ ) in Eq.(1.4) and  $\Lambda_3$  as  $v_E = \Lambda_3$  which appears in Eq.(3.1) later. Here and hereafter, in order to be easy to see a scale of flavons, we will sometimes show the indexes of U(3) and U(3)', by "o" and "•", instead of  $i, j, k, \dots$ " and " $\alpha, \beta, \gamma, \dots$ ", respectively.

We fix those scales by the observed data as shown later:

$$\begin{aligned} \Lambda_3 &= 8.883 \text{ TeV}, \\ \Lambda_2 &= 1.306 \times 10^4 \text{ TeV}, \\ \Lambda_1 &= 1.332 \times 10^7 \text{ TeV}. \end{aligned}$$



(iii) Majorana mass matrix of the left-handed neutrinos is given by a seesaw mechanism

$$(M_\nu^{Maj})_{\circ\circ} = (\hat{M}_\nu)_\circ \langle \bar{Y}_R^{-1} \rangle_{\circ\circ} (\hat{M}_\nu^T)_\circ. \quad (1.8)$$

In our model, in order to make the model anomaly free, the right-handed neutrinos  $\nu_R$  belong to triplet of U(3) as well as  $\nu_L$ , so that the right-handed neutrino Majorana mass matrix  $(M_R)^{ij}$  are given by VEV of a flavon  $\bar{Y}_R$ ,  $\langle \bar{Y}_R \rangle^{ij}$ .

In the present model, a scale of the right-handed neutrino Majorana mass matrix is considerably small differently from the conventional neutrino seesaw, because our flavon seems to be  $\langle \bar{Y}_R \rangle^{\circ\circ} \sim \Lambda_3$ . This is somewhat troublesome.

## 2. 1 Seesaw-type mechanism in the Dirac mass matrix

We consider hypothetical fermions  $F_\alpha$  ( $\alpha = 1, 2, 3$ ), which belong to  $(\mathbf{1}, \mathbf{3})$  of  $U(3) \times U(3)'$ , in addition to quarks and leptons  $f_i$  ( $i = 1, 2, 3$ ) which belong to  $(\mathbf{3}, \mathbf{1})$ . We assume that the VEV form (1.1) originates in the following  $6 \times 6$  mass matrix model:

$$(\bar{f}_L^i \quad \bar{F}_L^\alpha) \begin{pmatrix} (0)_i^j & (\Phi_f)_i^\beta \\ (\bar{\Phi}_f)_\alpha^j & -(S_f)_\alpha^\beta \end{pmatrix} \begin{pmatrix} f_{Rj} \\ F_{R\beta} \end{pmatrix}. \quad (2.1)$$

Here,  $F_{L(R)}$  are heavy fermions with  $(\mathbf{1}, \mathbf{1}, \mathbf{3})$  of  $SU(2)_L \times U(3) \times U(3)'$ . On the other hand,  $f_R$  are right-handed quarks and leptons,  $f_R = (u, d, \nu, e^-)_R$ , while  $f_L$  are not physical fields. They are given by the following combinations:

$$f_L \equiv (f_u, f_d, f_\nu, f_e)_L \equiv \left( \frac{1}{\Lambda_H} H_u^\dagger q_L, \frac{1}{\Lambda_H} H_d^\dagger q_L, \frac{1}{\Lambda_H} H_u^\dagger \ell_L, \frac{1}{\Lambda_H} H_u^\dagger \ell_L, \frac{1}{\Lambda_H} H_d^\dagger \ell_L \right) \quad (2.2)$$

$$q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, \quad \ell_L = \begin{pmatrix} \nu_L \\ e_L^- \end{pmatrix}, \quad H_u = \begin{pmatrix} H_u^0 \\ H_u^- \end{pmatrix}, \quad H_d = \begin{pmatrix} H_d^+ \\ H_d^0 \end{pmatrix}. \quad (2.3)$$

In other words, the matrix Eq.(2.1) denotes would-be Yukawa coupling constants.

Taking a seesaw-like approximation with  $\Lambda_2 \ll \Lambda_1$ , the mass matrix (2.1) leads to the following Dirac mass matrices of quarks and leptons:

$$(\hat{M}_f)_i^j \simeq \frac{\langle H_{u/d} \rangle}{\Lambda_H} \langle \Phi_f \rangle_i^\alpha \langle (\hat{S}_f)^{-1} \rangle_\alpha^\beta \langle \bar{\Phi}_f \rangle_\beta^j. \quad (2.5)$$

We search VEV scales of flavons by assuming that our flavon VEV scale is given by one of  $\Lambda_1$ ,  $\Lambda_2$  and  $\Lambda_3$ , except for  $H_{u/d}$ . As we stated in Sec.1, we put  $1/(\Lambda_1)^n$  for superpotential term with dimension  $(3 + n)$ . However, we assume that these rules are exceptional for the factor  $H_{u/d}/\Lambda_H$ . The VEV of  $H_u$  and  $H_d$  are fixed as  $v_{Hu} = v_{Hd} \equiv v_H \equiv \frac{1}{\sqrt{2}} \times 246$  GeV. (The reason of  $v_{Hu} = v_{Hd}$  will be given in Eq.(4.6) later.) We will take  $\Lambda_H$  as a different value from  $\Lambda_1$ ,  $\Lambda_2$  and  $\Lambda_3$ . That is, we consider that the additional factor  $H_{u/d}/\Lambda_H$  in Eq.(2.5) is out of our  $U(3) \times U(3)'$  scenario. For the time being, we do not discuss the mechanism which gives the factor  $H_{u/d}/\Lambda_H$ .

Effective Hamiltonian for quarks and leptons, after U(3) and U(3)' breaking, is

$$\begin{aligned} \mathcal{H}_Y = & (\bar{\nu}_L)^i (\hat{M}_\nu)_i^j (\nu_R)_j + (\bar{e}_L^i (\hat{M}_e)_i^j (e_R)_j + y_R (\bar{\nu}_R)^i (Y_R)_{ij} (\nu_R^c)^j \\ & + (\bar{u}_L)^i (\hat{M}_u)_i^j (u_R)_j + (\bar{d}_L)^i (\hat{M}_d)_i^j (d_R)_j. \end{aligned} \quad (2.4)$$

Of course,  $(\hat{M}_f)_i^j$  do not mean flavons. Note that quarks and leptons  $f_i$  are no more U(3) family triplet in the exact meaning, and they are mixing states between  $f$  and  $F$ . However, we will still use the index of U(3) family for them.

## 2. 2 R-charge assignment

We assume that  $R$  charges are still conserved under the block diagonalization of (2.1), so that we consider an  $R$  charge relation

$$R(\hat{S}_f) = R(\Phi_f) \equiv r_f. \quad (2.7)$$

(Here, we have taken  $R$  charge of the Higgs fields as  $R(H_{u/d}) = 0$ .) Then, the Dirac mass matrix  $\hat{M}_f$  has effectively a  $R$  charge  $r_f$  (although  $\hat{M}_f$  is not a flavon). Note that if there is a flavon combination  $(\Phi_{f'} \bar{\Phi}_{f''})_i^j / \Lambda_1$  with  $r_{f'} + r_{f''} = r_f$ , the combination can couple to  $\bar{f}_L^j f_{Ri}$  as well as  $(\hat{M}_f)_i^j$  with the same energy scale  $(\Lambda_2)^2 / \Lambda_1$ . Besides, if  $(\bar{\Phi}_f \Phi_{f'})$  and another  $(\bar{\Phi}_{f''} \Phi_{f'''})$  have the same  $R$  charge, those can have the same VEV structure. This is an unwelcome situation, because we want that  $\Phi_u$  and  $\Phi_\nu$  have somewhat different VEV structures from  $\Phi_e$  and  $\Phi_d$  as we state later. A simple way to avoid appearance of such unwelcome combinations is to take  $R$  charge difference among  $\Phi_f$ 's completely different from each other:  $r_e - r_u = 2/3$ ,  $r_d - r_e = 3/3$ ,  $r_\nu - r_d = 4/3$ , i.e.

$$(r_u, r_e, r_d, r_\nu) = \left( \frac{1}{3}, 1, 2, \frac{10}{3} \right). \quad (2.8)$$

The reason  $r_e = 1$  is as follows: Only when  $r_e = 1$ . we can write a superpotential for  $\hat{S}_e$ ,

$$W_{S_e} = \lambda_1^S [(\hat{S}_e)_\alpha^\beta (\hat{S}_e)_\beta^\alpha] + \lambda_2^S [(\hat{S}_e)_\alpha^\alpha][(\hat{S}_e)_\beta^\beta]. \quad (2.9)$$

Supersymmetric vacuum condition leads to  $\hat{S}_e = \nu_S \mathbf{1}$ , so that we obtain  $b_e = 0$ . This means that the charged lepton mass matrix  $\hat{M}_e$  is proportional to  $\text{diag}(z_1^2, z_2^2, z_3^2)$ . Therefore, our parameters  $z_i$  are given by (1.6). The phase parameters  $\phi_i^e$  do not have physical meaning because  $\langle \Phi_e \rangle$  is commutable with  $\langle \hat{S}_e \rangle = \nu_S \mathbf{1}$ , so that we can hereafter put  $\phi_i^e = 0$ , i.e.  $\langle \Phi_e \rangle = \nu_e \text{diag}(z_1, z_2, z_3)$ .

Table 1:  $R$  charges and VEV scales of leading flavons. Transformation property under  $U(3)\times U(3)'$  is indicated by “ $\circ$ ” and “ $\bullet$ ”, respectively.

flavon	$(\Phi_u)_\circ$	$(\Phi_e)_\circ$	$(\Phi_d)_\circ$	$(\Phi_\nu)_\circ$	$(\hat{S}_u)_\bullet$	$(\hat{S}_e)_\bullet$	$(\hat{S}_d)_\bullet$	$(\hat{S}_\nu)_\bullet$		
$R$	$\frac{1}{3}$	$\frac{3}{3}$	$\frac{6}{3}$	$\frac{10}{3}$	$\frac{1}{3}$	$\frac{3}{3}$	$\frac{6}{3}$	$\frac{10}{3}$		
Scale	$\Lambda_2$	$\Lambda_2$	$\Lambda_2$	$\Lambda_3$	$\Lambda_1$	$\Lambda_1$	$\Lambda_1$	$\Lambda_2$		
	$E_{\circ\circ}$	$\hat{E}_\circ$	$E_{\circ\bullet}$	$E_{\bullet\bullet}$	$\hat{E}_\bullet$	$(\hat{Y}_{eu})_\bullet$	$(\bar{S}'_u)^{\circ\circ}$	$(\bar{Y}_R)^{\circ\circ}$		
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{1}{3}$	$\frac{5}{3}$	$\frac{5}{3}$	$\frac{4}{3}$	$\frac{5}{3}$	$\frac{13}{3}$		
	$\Lambda_3$	$\Lambda_3$	$\Lambda_2$	$\Lambda_1$	$\Lambda_1$	$\Lambda_1$	$\Lambda_2$	$\Lambda_3$		
	$(\bar{\Theta}_d)_\circ$	$(\bar{\Theta}_u)_\bullet$	$(\bar{\Theta}_\nu)_\circ$	$(\hat{\Theta}_\nu)_\bullet$	$(\hat{\Theta}_\phi)_\bullet$	$(\Theta_R)_{\circ\circ}$	$(\hat{\Theta}_{eu})_\bullet$	$(\Theta'_{Su})_{\circ\circ}$	$(\hat{\Theta}_E)_\bullet$	$(\hat{\Theta}_E)_\circ$
	$-\frac{5}{3}$	$-\frac{2}{3}$	$-\frac{4}{3}$	$-\frac{4}{3}$	$\frac{2}{3}$	$-3$	$\frac{2}{3}$	$-1$	$-\frac{4}{3}$	$\frac{2}{3}$
	$0$	$0$	$0$	$0$	$0$	$0$	$0$	$0$	$0$	$0$

## 2.3 VEV relation among $\Phi_f$

A VEV of  $\Phi_d$  is given by the following superpotential

$$W_d = \left\{ (\Phi_d)_i^\beta (\hat{E})_\beta^\alpha + \lambda_d \frac{1}{\Lambda_1} (\Phi_e)_i^\beta (\hat{S}_e)_\beta^\gamma (\hat{E})_\gamma^\alpha \right\} (\bar{\Theta}_d)_\alpha^i, \quad (2.10)$$

Here and hereafter, we assume that the  $\Theta$  fields always take  $\langle \Theta \rangle = 0$ . Therefore, from  $\partial W_d / \partial \Theta_d = 0$ , we obtain

$$(\Phi_d)_i^\beta (\hat{E})_\beta^\alpha = -\lambda_d \frac{1}{\Lambda_1} (\Phi_e)_i^\beta (\hat{S}_e)_\beta^\gamma (\hat{E})_\gamma^\alpha. \quad (2.11)$$

Therefore, when we take  $(\hat{E})_\gamma^\alpha = \Lambda_1$ , we obtain the VEV  $\langle \Phi_d \rangle$  with the same order as  $\langle \Phi_e \rangle$ , i.e.  $\langle \Phi_d \rangle = v_d \text{diag}(z_1, z_2, z_3)$  with  $\phi_i^d = 0$ .

However, for  $\Phi_u$  with  $R = 1/3$ , we have to consider another mechanism,

$$W_u = \left\{ \frac{\lambda_{u1}}{\Lambda_1} (\bar{\Phi}_u)_\alpha^k (\Phi_u)_k^\gamma (\hat{E})_\gamma^\beta + \lambda_{u2} (\bar{\Phi}_d)_\alpha^k (\Phi_d)_k^\beta \right\} (\hat{\Theta}_u)_\beta^\alpha. \quad (2.12)$$



Here, we have introduced a new flavon  $\hat{E}_\bullet$  with  $R = 5/3$  and a VEV form  $\langle \hat{E} \rangle = v_E \mathbf{1}$ . (For the flavon  $E$  together with other similar flavons  $E$ , we will summarize in Appendix C.) Since this potential gives a relation between  $\Phi_u \bar{\Phi}_u$  and  $\Phi_d \bar{\Phi}_d$ ,  $\langle \Phi_u \rangle$  can have phase factors against real VEV matrix  $\langle \Phi_d \rangle$ . Hereafter, we simply denote  $\phi_i^u$  as  $\phi_i$ :

$$\langle \Phi_u \rangle = v_u \text{diag}(z_1 e^{i\phi_1}, z_2 e^{i\phi_2}, z_3 e^{i\phi_3}). \quad (2.13)$$

Meanwhile, in this model, flavons  $E$  with VEV matrix form  $v_E \text{diag}(1, 1, 1)$  frequently appear. For  $E$  with the scale  $\Lambda_3$  and  $R = 2/3$ , we consider

$$W_E = \lambda_1 \text{Tr}[\hat{E}_\circ E_{\circ\circ} \bar{E}^{\circ\circ}] + \lambda_2 \text{Tr}[\hat{E}_\circ] \text{Tr}[E_{\circ\circ} \bar{E}^{\circ\circ}]. \quad (2.14)$$

SUSY vacuum condition leads to

$$\hat{E}_\circ = v_E \mathbf{1}, \quad E_{\circ\circ} \bar{E}^{\circ\circ} = (v_E)^2 \mathbf{1}. \quad (2.15)$$

For  $E_{\circ\circ}$ , we take a special solution  $E_{\circ\circ} = v_E \mathbf{1}$  in the relation  $E_{\circ\circ} \bar{E}^{\circ\circ} = (v_E)^2 \mathbf{1}$ .

For  $\hat{E}_{\bullet}$  and  $E_{\bullet\bullet}$ , there is not such a simple superpotential. We have to assume the following superpotential

$$W_E = \lambda_1 \text{Tr}[\hat{E}_{\bullet} \hat{E}_{\bullet} (\hat{\Theta}_{-4/3})_{\bullet}] + \lambda_2 \text{Tr}[E_{\bullet\bullet} \bar{E}^{\bullet\bullet} (\hat{\Theta}_{-4/3})_{\bullet}] + \dots, \quad (2.16)$$

where  $(\hat{\Theta}_{-4/3})_{\bullet}$  has  $R = -4/3$ . We also assume

$$W_E = \text{Tr}[\hat{E}_{\circ} E_{\bullet\bullet} \bar{E}^{\bullet\bullet} (\hat{\Theta}_{2/3})_{\circ}] + \dots, \quad (2.17)$$

where  $(\hat{\Theta}_{2/3})_{\circ}$  has  $R = 2/3$ .

Finally, let us discuss a relation of  $\langle \Phi_\nu \rangle$ . We cannot consider the similar mechanism as  $\langle \Phi_f \rangle$  using  $\hat{E}$ , since we need to give a small scale compared with  $\langle \Phi_e \rangle \sim \Lambda_2$  in order to satisfy the seesaw approximation (1.7). (Since the scale  $\Lambda_2$  means the maximal scale of a flavon with  $A_\circ^\bullet$ , the requirement  $\langle \Phi_\nu \rangle < \Lambda_2$  is no problem.) Therefore, we assume the following superpotential term:

$$W_\nu = \left\{ \mu_\nu (\Phi_\nu)_\circ^\bullet + \lambda_\nu \frac{1}{(\Lambda_1)^2} (\Phi_e)_\circ^\bullet \bar{E}^{\bullet\bullet} E_{\circ\circ} \bar{E}^{\circ\circ} \right\} (\bar{\Theta}_\nu)_\circ^\bullet, \quad (2.18)$$

where new flavons  $E_{\circ\circ}$  and  $E_{\bullet\bullet}$  with VEV form  $v_E \mathbf{1}$  has  $R$  charges

$$R(E_{\circ\circ}) = \frac{2}{3}, \quad R(E_{\bullet\bullet}) = \frac{5}{3}. \quad (2.19)$$

Therefore, a VEV scale of  $(\Phi_\nu)_\circ^\bullet$  with  $R = 10/3$  is given by

$$\|\Phi_\nu\| = \frac{(\Lambda_2)^3}{\mu_\nu \Lambda_1}, \quad (2.20)$$

Hereafter, for convenience, we denote a VEV scale of a flavon  $A$  as a notation  $\|A\|$ . Also, in the estimation of VEV scales for simplicity, we put  $\lambda = 1$  for all dimensionless coefficients  $\lambda$  in superpotentials (2.9), (2.10), and so on.

We denote Eq.(2.20) as

$$\langle \Phi_\nu \rangle = \xi_\nu \langle \Phi_e \rangle, \quad \xi_\nu = \frac{(\Lambda_2)^2}{\mu_\nu \Lambda_1}. \quad (2.21)$$

We have obtained  $b_e = 0$ , i.e.  $\langle \hat{S}_e \rangle = v_S \mathbf{1}$  by assigning  $r_e = 1$  as shown in Eq.(2.9). However, since  $\hat{S}_\nu$  has  $R = 10/3$ , we cannot assert  $b_\nu = 0$  by means of a similar way to Eq.(2.9). We want a similar VEV structure of  $\hat{M}_\nu$  to  $\hat{M}_e$  except for its VEV scale. Therefore, we assume a superpotential similar to ((2.18):

$$W_{S\nu} = \left\{ \mu_{S\nu} (\hat{S}_\nu) + \lambda_\nu \frac{1}{(\Lambda_1)^2} (\hat{S}_e) \bar{E} E \bar{E} \right\} (\hat{\Theta}_\nu) \quad (2.22)$$

Then, we obtain a result similar to (2.21):

$$\langle \hat{S}_\nu \rangle = \xi_{S\nu} \langle \hat{S}_e \rangle, \quad \xi_{S\nu} = \frac{(\Lambda_2)^2}{\mu_{S\nu} \Lambda_1}, \quad (2.23)$$

so that we can obtain  $\hat{M}_\nu$  with the same form as  $\hat{M}_e$ :

$$\hat{M}_\nu = \xi_M \hat{M}_e, \quad \xi_M = (\xi_\nu)^2 (\xi_S)^{-1} = \frac{\mu_{S\nu} (\Lambda_2)^2}{(\mu_\nu)^2 \Lambda_1}. \quad (2.24)$$

## 2. 4 Parameter values in the quark sector

As far as quark sector is concerned, the VEV matrices are exactly the same as those in the previous paper, although the model is completely different from the previous one.

We choose parameter values ( in the parameter fitting, we have used running masses at  $\mu = m_Z$  at input quark and lepton masses) as

$$b_u = -1.011, \quad b_d = -3.522 e^{i 17.7^\circ}, \quad (2.21)$$

and

$$(\tilde{\phi}_1, \tilde{\phi}_2) = (-176.05^\circ, -167.91^\circ), \quad (2.22)$$

where we have fitted  $(\phi_1, \phi_2, \phi_3)$  as  $(\tilde{\phi}_1, \tilde{\phi}_2, 0)$  without losing generality. Then, we can obtain reasonable quark masses and CKM mixing:

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# Parameter fitting (quark mass ratios, CKM, MNS, and ratios of neutrino mass squared differences)

- u-quark mass ratios,  $r_{12}^u \equiv \sqrt{\frac{m_u}{m_c}} = 0.045$ ,  $r_{23}^u \equiv \sqrt{\frac{m_c}{m_t}} = 0.060$

fix  $b_u = -1.011$

- d-quark mass ratios,  $r_{12}^d \equiv \frac{m_d}{m_s} = 0.053$ ,  $r_{23}^d \equiv \frac{m_s}{m_b} = 0.019(\text{Xing})$  or  $0.031(\text{F-K})$

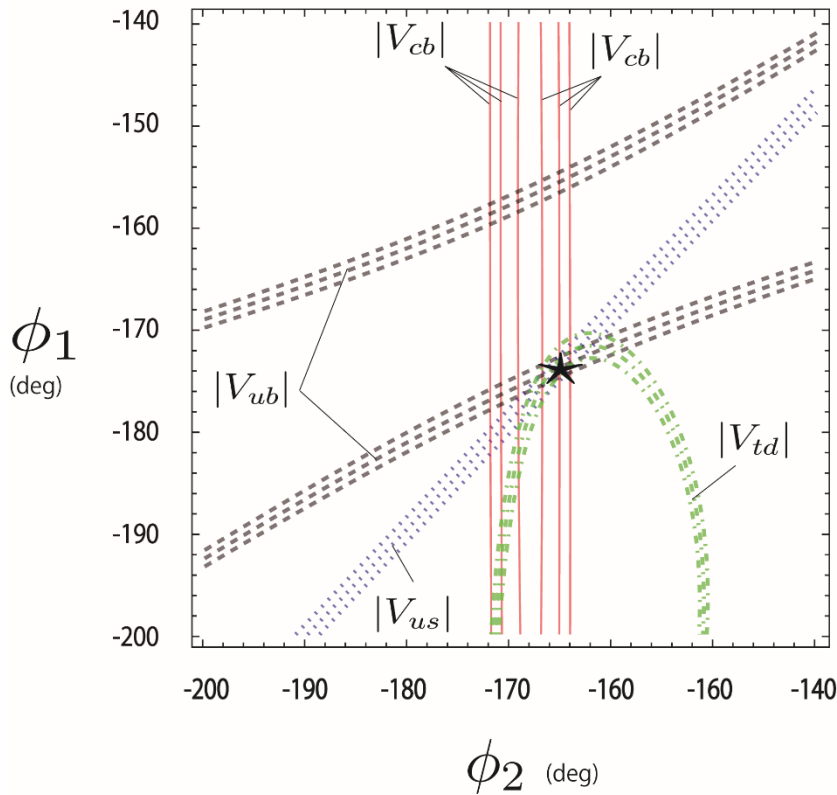
fix  $(b_d, \beta_d) = (-3.3522, 17.7^\circ)$

Then,  $r_{12}^u = 0.061$ ,  $r_{23}^u = 0.060$ ,  $r_{12}^d = 0.049$ ,  $r_{23}^d = 0.027$

- The CKM mixings matrix elements fix  $(\phi_1, \phi_2)$

- The MNS mixings matrix and  $R_\nu$  are functions of  $\xi_R$

# 3. CKM fitting



$(\phi_1, \phi_2) = (-176.05^\circ, -167.91^\circ)$   
 is consistent with all the observed values:

$$\begin{aligned}
 |V_{us}| &= 0.22536 \pm 0.00061, \\
 |V_{cb}| &= 0.0414 \pm 0.0012, \\
 |V_{ub}| &= 0.00355 \pm 0.00015, \\
 |V_{td}| &= 0.00886^{+0.00033}_{-0.00032}, \\
 \delta_{CP}^q &= 69.4^\circ \pm 3.4^\circ.
 \end{aligned}$$

Fig 1. Contour curves in the  $(\phi_1, \phi_2)$  parameter plane of the observed CKM mixing matrix elements of  $|V_{us}|$ ,  $|V_{cb}|$ ,  $|V_{ub}|$ , and  $|V_{td}|$ . We draw the three contour curves, which corresponds to the center, upper, and lower values of the observed constraints for each the CKM mixing matrix elements, with taking  $b_u = -1.011$ , and  $b_d = -3.3522$ ,  $\beta_d = 17.7^\circ$ . We find that the parameter set around  $(\phi_1, \phi_2) = (-176.05^\circ, -167.91^\circ)$  indicated by a star ( $\star$ ) is consistent with all the observed values



### 3 Mas Relation between phase parameters $\phi_i$ and charged lepton masses $m_{ei}$

The parameters  $(\phi_1, \phi_2, \phi_3)$  were typical family-number dependent parameters. Now we try to describe  $(\phi_1, \phi_2, \phi_3)$  in terms of charged lepton masses  $m_{ei}$  and two family-number independent parameters. We assume the following superpotential

$$W_\phi = \left\{ \frac{\lambda_1^\phi}{\Lambda_1} [(\bar{\Phi}_u)_\bullet E_{\bullet\bullet} (\bar{E}')^{\bullet\bullet} + h.c.] + \frac{\lambda_2^\phi}{(\Lambda_1)^2} (\bar{\Phi}_u)_\bullet (E')_{\bullet\bullet} (\bar{E}')^{\bullet\bullet} (\Phi_u)_\bullet + \frac{\lambda_2^\phi}{(\Lambda_1)^3} (\bar{\Phi}_u)_\bullet (\Phi_u)_\bullet (\bar{\Phi}_u)_\bullet (\Phi_u)_\bullet \right\} (\hat{\Theta}_\phi)_\bullet, \quad (3.1)$$

where  $R(\Theta_\phi) = 2/3$ .  $E_{ij}$  is given by a superpotential similar to (2.10), and  $(E')_{i\alpha}$  was already defined in (2.18).

Note that the second term  $(\bar{\Phi}_u)_\bullet \circ (E')_{\bullet\bullet} (\bar{E}')^{\circ\circ} (\Phi_u)_\circ \bullet$  in Eq.(3.1), additional terms are allowed:  $(\bar{\Phi}_u)_\bullet \circ (\Phi_u)_\circ \bullet (E')_{\bullet\bullet} (\bar{E}')^{\circ\circ}$ ,  $(\bar{\Phi}_u)_\bullet \circ (E')_{\bullet\bullet} (\Phi_u^T)_\circ \bullet (\bar{E}')^{\circ\circ}$ ,  $(E')_{\bullet\bullet} (\bar{\Phi}_u^T)_\circ \bullet \bar{E}^{\circ\circ} (\Phi_u)_\circ \bullet$ , and  $(E')_{\bullet\bullet} (\bar{E}')^{\circ\circ} (\bar{\Phi}_u)_\bullet \circ (\Phi_u)_\circ \bullet$ . Here, some remarks are in order: (i) we regard those additional terms as “substantially same terms”, (ii) but, we count a flavon  $A^\dagger$  as a different field from  $A$ , and (iii) the coefficient  $\lambda$  is defined as follows: the coefficient  $\lambda$  is a coefficient with a factor  $1/n$  for sum of  $n$  substantially same terms. For example, in the second term in (3.1). the factor  $\lambda_2^\phi$  is defined as one for sum of the five terms with  $1/5$ . However, for simplicity, hereafter, we denote only representative one even if there are many similar terms, and give the coefficient  $\lambda$  instead of  $\lambda/n$ . Also note that the *h.c* term in the first term is different from the first one according to our counting rule.

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The flavon  $\hat{\Theta}_\phi$  in (3.1) has VEV value of zero. The SUSY vacuum condition  $\partial W_\phi / \partial \Theta_\phi = 0$  leads to a condition

$$2c_1 z_i \cos \phi_i = c_2 z_i^2 + c_3 z_i^4, \quad (3.2)$$

where parameters  $c_1$ ,  $c_2$  and  $c_3$  are family-number independent parameters and they have scales

$$c_1 = \lambda_1 \frac{(\Lambda_2)^2}{(\Lambda_1)^2} \Lambda_1 \Lambda_3, \quad c_2 = \lambda_2 \frac{(\Lambda_2)^4}{(\Lambda_1)^2}, \quad c_3 = \lambda_3 \frac{(\Lambda_2)^4}{(\Lambda_1)^2}. \quad (3.3)$$

When we denote these parameters  $\phi_i$  as

$$\begin{aligned} \phi_1 &= \phi_0 + \tilde{\phi}_1, \\ \phi_2 &= \phi_0 + \tilde{\phi}_2, \\ \phi_3 &= \phi_0, \end{aligned} \quad (3.4)$$

the parameter  $\phi_0$  is unobservable in the CKM parameter fitting, while it is not unobservable in the  $U(3) \times U(3)'$  model.

From the input values  $(\tilde{\phi}_1, \tilde{\phi}_2) = (-176.05^\circ, -167.91^\circ)$  given in (2.22), we obtain

$$\phi_0 = 86.69^\circ, \quad \frac{c_2}{c_1} = 1.368, \quad \frac{c_3}{c_2} = -0.967. \quad (3.5)$$

which leads to

$$(\phi_1, \phi_2, \phi_3) = (-89.36^\circ, -87.25^\circ, 86.69^\circ). \quad (3.6)$$

Thus, the family-number dependent parameters  $(\phi_1, \phi_2, \phi_3)$  can be reduced into family-number independent parameters  $(c_2/c_1, c_3/c_2)$ . (Note that the parameter  $\phi_0$  is not unobservable any longer in this model.)

Note that the numerical results (3.5) suggests  $|c_3/c_2| = 1$  if we take  $\lambda_2 = \lambda_3$ . Then, it is natural that we consider  $\lambda_1 = \lambda_2 = \lambda_3$ . Only the ratio  $c_2/c_1$  is not one. When we put the ratio as  $c_2/c_1 \equiv \rho \simeq 1.37$ , we obtain

$$R_\Lambda \equiv \frac{\Lambda_2}{\Lambda_1} = \rho \frac{\Lambda_3}{\Lambda_2}. \quad (3.7)$$

We will see  $R_\Lambda \sim 10^{-3}$  later.

Hereafter, for convenience, we put  $\lambda = 1$  for all dimensionless coefficients  $\lambda$  in superpotentials (2.9), (2.10), and so on.

# 4 Slight deviation of the scales in the lepton sector

## 4.1 $\hat{M}_{\text{lepton}}$ versus $\hat{M}_{\text{quark}}$

Let us comment on the ratio  $v_{Hu}/v_{Hd}$ . Usually, it is understood that the value  $\tan\beta \equiv v_{Hu}/v_{Hd}$  is  $\tan\beta \sim 10$ . However, from (2.6), our quark masses are predicted as

$$\begin{aligned}(m_u, m_c, m_t) &= (0.0003964, 0.106411, 29.743)m_{0u}, \\ (m_d, m_s, m_b) &= (0.0007249, 0.01467, 0.5365)m_{0d},\end{aligned}\tag{4.1}$$

where  $m_{0f}$  are defined by

$$m_{0f} = \frac{v_{Hf}}{\Lambda_H} \frac{(v_f)^2}{v_{Sf}} = \frac{v_{Hf}}{\Lambda_H} \rho \Lambda_3.\tag{4.2}$$

In the last term in Eq.(4.2), we have used the relation (3.7). The numerical values in (4.1) are eigenvalues of the dimensionless matrix

$$Z(1 + b_f X_3)^{-1} Z,\tag{4.3}$$

$$Z = \text{diag}(z_1, z_2, z_3),\tag{4.4}$$

and we have used input values  $b_u = -1.011$  and  $b_d = -3.3522e^{i17.7^\circ}$  respectively.

The prediction (4.1) can give reasonable values for mass ratios up- to down-quark

$$\frac{m_t}{m_b} = 55.44, \quad (4.5)$$

if we take  $m_{0u} = m_{0d}$ . The value (4.5) roughly agrees with the observed value  $(m_t/m_b)^{obs} \simeq 59.41$ . Therefore, in the present model, we can regard

$$\frac{m_{0u}}{m_{0d}} = 1, \quad i.e \quad v_{Hu} = v_{Hd}. \quad (4.6)$$

Also, since  $m_\tau = (z_3)^2 m_{0e}$  ( $z_3 = 0.97170$ ), we obtain

$$m_{0e} = 1.8499 \text{ GeV}, \quad (4.7)$$

so that we get

$$\frac{m_{0u}}{m_{0e}} = 3.121. \quad (4.8)$$

This suggests

$$\frac{m_{0u}}{m_{0e}} = 3. \quad (4.9)$$

We consider that such the factor 3 originates in a slight deviation between flavon VEV scales in the lepton sector and the quark sector.

## 4.2 Slight deviation of the scale $\|\Phi_{\text{lepton}}\|$ from $\|\Phi_{\text{quark}}\|$

In this subsection, we try to understand Eq.(4.9) from slight scale deviation of  $\Phi_\ell$  and  $\hat{S}_\ell$  from  $\Phi_q$  and  $\hat{S}_q$  ( $\ell = e, \nu$  and  $q = u, d$ ):

$$\|(\Phi_\ell)_\circ\| = \eta_\Phi \Lambda_2, \quad \|(\hat{S}_\ell)_\circ\| = \eta_S \Lambda_1, \quad (4.10)$$

against  $\|(\Phi_q)_\circ\| = \Lambda_2$  and  $\|(\hat{S}_q)_\circ\| = \Lambda_1$ . Here, we have consider that factors  $\eta_\Phi$  and  $\eta_S$  are orders of one in contrast to factors  $\xi_\nu$  and  $\xi_{S\nu}$  with orders of  $10^{-3}$  as shown later.

The modification (4.10) gives

$$\|(\hat{M}_\ell)_\circ\| = (\eta_\Phi)^2 (\eta_S)^{-1} \|(\hat{M}_q)_\circ\|, \quad (4.11)$$

so that (4.10) demands

$$\frac{(\eta_\Phi)^2}{\eta_S} = \frac{1}{3}, \quad \text{i.e.} \quad 3(\eta_\Phi)^2 = \eta_S. \quad (4.12)$$

On the other hand, the VEV relation (2.10) does not hold unless  $\|(\Phi_\ell)_\bullet\| \cdot \|(\hat{S}_\ell)_\bullet\| = \Lambda_2 \Lambda_1$ . Therefore, we put additional relation

$$\eta_\Phi \eta_S = 1, \quad (4.13)$$

so that we obtain

$$3(\eta_\Phi)^3 = 1 \quad \Rightarrow \quad \eta_\Phi = \frac{1}{\eta_S} = \frac{1}{3^{1/3}}. \quad (4.14)$$



# 5 Flavons in the neutrino sector

In this section, we discuss Majorana mass matrix of the right-handed neutrinos  $\nu_R$ ,  $Y_R$ . Note that the mass matrix  $\bar{Y}_R$  is  $(\mathbf{6}^*, \mathbf{1})$  of  $U(3) \times U(3)'$ , i.e.  $(\bar{Y}_R)^{\circ\circ}$ .

In the present neutrino mass matrix model, the Dirac neutrino mass matrix  $\hat{M}_\nu$  is given by Eq.(2.5), i.e.

$$(\hat{M}_\nu)^\circ = \frac{\langle H_u \rangle}{\Lambda_H} (\Phi_\nu)^\circ (\hat{S}_\nu^{-1})^\circ (\bar{\Phi}_\nu)^\circ. \quad (5.1)$$

Since we pay attention to VEV scales of flavons, it is important whether those flavons belong to  $U(3)$  or  $U(3)'$ . From Eq.(5.1), we obtain

$$\|(\hat{M}_\nu)^\circ\| = \xi_M \frac{\langle H_u \rangle}{\Lambda_H} \frac{(\Lambda_2)^2}{\Lambda_1}, \quad (5.2)$$

where  $\xi_M$  is defined by (2.20) (also see (5.28) later). Since  $\|\hat{M}_e\|$  is given by the order of  $(\langle H_d \rangle / \Lambda_H) (\Lambda_2)^2 / \Lambda_1$  and we consider an additional seesaw (1.8), a scale ratio of the scales  $\|M^{Maj}\| / \|\hat{M}_e\|$  is given by

$$R_{\nu/e} \equiv \frac{\|M_\nu^{Maj}\|}{\|\hat{M}_e\|} = (\xi_M)^2 \frac{v_H}{\Lambda_H} \frac{(\Lambda_2)^2}{\Lambda_1} \frac{1}{\|\bar{Y}_R\|}, \quad (5.3)$$

where  $v_{Hu} = v_{Hd} \equiv v_H$ . In order to estimate the ratio (5.3), we have to build model for  $\bar{Y}_R$ .

# 5.1 VEV structure of Majorana mass matrix $\bar{Y}_R$

Majorana mass matrix of the left-handed neutrinos is given by a seesaw mechanism

$$(M_\nu^{Maj})_{\circ\circ} = (\hat{M}_\nu)_\circ \langle \bar{Y}_R^{-1} \rangle_{\circ\circ} (\hat{M}_\nu^T)_\circ. \quad (1.8)$$

We denote the form  $\langle \bar{Y}_R \rangle$  by the following terms

$$\mu_R \langle \bar{Y}_R \rangle^{\circ\circ} = \mu_R \left[ (\bar{Y}_R^{1st})^{\circ\circ} + (\bar{Y}_R^{2nd})^{\circ\circ} \right], \quad (5.4)$$

with  $\|(\bar{Y}_R^{1st})\| \gg \|(\bar{Y}_R^{2nd})\|$ . Here, in Eq.(5.4), we denote the first and second terms in  $\bar{Y}_R$  as  $(\bar{Y}_R^{1st})$  and  $(\bar{Y}_R^{2nd})$ , which do not mean two new flavons.

$$\mu_R (\bar{Y}_R^{1st})^{\circ\circ} = \frac{1}{2} \frac{1}{(\Lambda_1)^2} \left\{ (\bar{\Phi}_e^T)_\circ \cdot \bar{E}^{\bullet\bullet} (\hat{Y}_{eu})_\bullet (\bar{\Phi}_u)_\circ + (transposed) \right\}, \quad (5.7)$$

Here we define a new flavon  $\hat{Y}_{eu}$ :

$$\mu_{eu} (\hat{Y}_{eu})_\bullet = (\bar{\Phi}_e)_\circ (\Phi_u)_\circ. \quad (5.6)$$

$$\mu_R(\bar{Y}_R^{2nd})^{\circ\circ} = \frac{1}{2} \frac{1}{(\Lambda_1)^2} \left\{ (\bar{\Phi}_d)^\circ \cdot (\bar{\Phi}_u^T)^\circ \cdot (\bar{S}'_u)^{\circ\circ} (\bar{\Phi}_u)^\circ + (transposed) \right\}. \quad (5.10)$$

Here we introduce a new flavon whose VEV is proportional to  $\langle \hat{S}_u^{-1} \rangle$ :

$$W_{S'_u} = \left\{ \bar{E}^{\circ\circ} (\hat{E})^\circ + (\bar{S}'_u)^{\circ\circ} (\hat{S}_u)^\circ \right\} (\Theta'_{S_u})_{\circ\circ}, \quad (5.9)$$

where, for simplicity, we have drop the coefficients  $\lambda_1$  and  $\lambda_2$  although we suppose  $\lambda_1 \simeq \lambda_2 \simeq 1$ .

Then, we estimate

$$\mu_R \|(\bar{Y}_R^{1st})^{\circ\circ}\| = (\eta_\Phi)^2 \frac{\Lambda_1 (\Lambda_2)^4}{\mu_{eu} (\Lambda_1)^2}, \quad (5.8)$$

$$\mu_R \|(\bar{Y}_R^{2nd})\| = \frac{(\Lambda_2)^4}{(\Lambda_1)^2}. \quad (5.11)$$

Therefore, we obtain the ratio

$$R_{2/1} \equiv \frac{\|\bar{Y}_R^{2nd}\|}{\|\bar{Y}_R^{1st}\|} = \frac{1}{(\eta_\Phi)^2} \frac{\mu_{eu}}{\Lambda_1} \equiv \xi_R. \quad (5.12)$$

## 5.2 Parameter $\xi_R$

In our model, after parameters  $(b_u e^{\beta_u}, b_d)$  and  $(\tilde{\phi}_1, \tilde{\phi}_2)$  defined in (1.2) and (3.4) are fixed by quark masses and CKM quark mixing fitting, neutrino masses and PMNS lepton mixing matrix are predicted only by one parameter  $\xi_R$ ,

Parameter fitting for neutrino data is done under the following dimensionless reexpression:

$$\tilde{M}_\nu^{Maj} = (\xi_M)^4 Z^2 \tilde{Y}_R^{-1} Z^2, \quad (5.13)$$

where

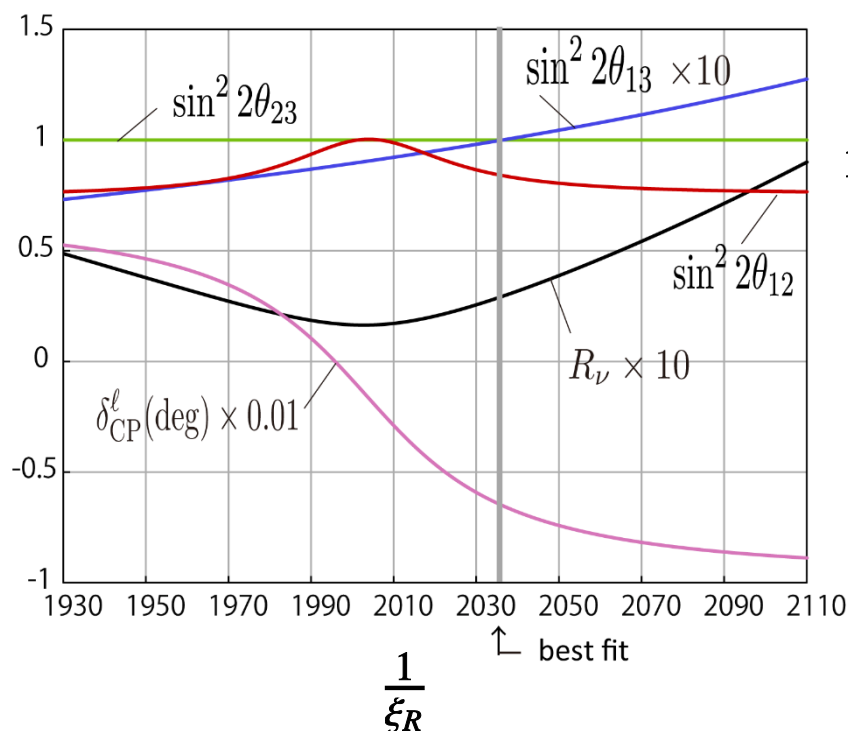
$$\tilde{Y}_R = Z^4 + \xi_R Z^2 P (\mathbf{1} + b_u X_3)^{-1} P^\dagger Z, \quad (5.14)$$

$$\begin{aligned} Z &= \text{diag}(z_1, z_2, z_3), \\ P &= \text{diag}(e^{i\tilde{\phi}_1}, e^{i\tilde{\phi}_2}, 1). \end{aligned} \quad (5.15)$$

( $Z$  and  $P$  do not mean new flavons. Those are nothing but dimensionless  $3 \times 3$  matrices.) The parameter  $\xi_R$  corresponds to the ratio  $R_{2/1}$  defined in (5.12). (The parameter fitting is practically the same as one in the previous model [?]. However, note that the present parameter  $\xi_R$  corresponds to  $1/\xi_R$  in the previous model.) The best fitting value of  $\xi_R$  is

$$\xi_R = 0.9806 \times 10^{-3}. \quad (5.17)$$

# MNS and neutrino mass fitting



$\frac{1}{\xi_R} = 2039.6$  ( $\xi_R = 0.9806 \times 10^{-3}$ ).  
is consistent with all the observed values.

$$\sin^2 2\theta_{12} = 0.846 \pm 0.021,$$

$$\sin^2 2\theta_{23} = 0.999^{+0.001}_{-0.018},$$

$$\sin^2 2\theta_{13} = 0.093 \pm 0.008,$$

$$R_\nu \equiv \frac{\Delta m_{21}^2}{\Delta m_{32}^2} = (3.09 \pm 0.15) \times 10^{-2}.$$

Fig. 2  $\xi_R$  dependence of the lepton mixing parameters  $\sin^2 2\theta_{12}$ ,  $\sin^2 2\theta_{23}$ ,  $\sin^2 2\theta_{13}$ , and the neutrino mass squared difference ratio  $R_\nu$ . We draw curves of those as functions of  $\xi_R$  for the case of  $b_u = -1.011$  and  $(\phi_1, \phi_2) = (-176.05^\circ, -167.91^\circ)$ .

Y. Koide and H. Nishiura, Phys.Rev. **D 92** (2015) 111301(R).

# Predictions

- $b_u, (b_d, \beta_d), (\phi_1, \phi_2), \xi_R$  have been fixed, so we predict

Table 1: Predicted values vs. observed values.

	$ V_{us} $	$ V_{cb} $	$ V_{ub} $	$ V_{td} $	$\delta_{CP}^q$	$r_{12}^u$	$r_{23}^u$	$r_{12}^d$	$r_{23}^d$
Pred	0.2257	0.03996	0.00370	0.00917	81.0°	0.061	0.060	0.049	0.027
Obs	0.22536	0.0414	0.00355	0.00886	69.4°	0.045	0.060	0.053	0.019
	$\pm 0.00061$	$\pm 0.0012$	$\pm 0.00015$	$+0.00033$ $-0.00032$	$\pm 3.4^\circ$	$+0.013$ $-0.010$	$\pm 0.005$	$+0.005$ $-0.003$	$+0.006$ $-0.006$
	$\sin^2 2\theta_{12}$	$\sin^2 2\theta_{23}$	$\sin^2 2\theta_{13}$	$R_\nu [10^{-2}]$	$\delta_{CP}^\ell$	$m_{\nu 1} [\text{eV}]$	$m_{\nu 2} [\text{eV}]$	$m_{\nu 3} [\text{eV}]$	$\langle m \rangle [\text{eV}]$
Pred	0.8254	0.9967	0.1007	3.118	-68.1°	0.038	0.039	0.063	0.021
Obs	0.846	0.999	0.093	3.09	-	-	-	-	$< O(10^{-1})$
	$\pm 0.021$	$+0.001$ $-0.018$	$\pm 0.008$	$\pm 0.15$					

- The  $CP$  violating phase parameters  $\delta_{CP}^q$  and  $\delta_{CP}^\ell$  in the standard expression of  $V_{CKM}$  and  $U_{PMNS}$  are predicted as  $\delta_{CP}^q \simeq 81^\circ$  and  $\delta_{CP}^\ell = -68^\circ$  respectively, i.e.  $\delta_{CP}^\ell \sim -\delta_{CP}^q$ . We also predict  $\langle m \rangle = 0.021 [\text{eV}]$

Prediction of the Jarlskog invariant in CKM :  $J = 3.21 \times 10^{-5}$

Observed value:  $J = (3.06_{-0.20}^{+0.21}) \times 10^{-5}$

## 5.3 Scales of $\mu$ parameters $\xi_R$

Now, we have four flavon mass parameters  $\mu_\nu$ ,  $\mu_{S\nu}$ ,  $\mu_{eu}$  and  $\mu_R$  defined by (2.14), (2.18), (5.6) and (5.7), respectively. (For the time being, we do not discuss  $\mu_H$  in (2.2).) So far, we have considered three VEV scale  $\Lambda_1$ ,  $\Lambda_2$  and  $\Lambda_3$  as shown in (1.7) and also in Table 1. Therefore, let us put the following selection rules for

$$\mu_A \|A\| = \|G\|, \quad (5.18)$$

where  $G$  is a combination of some flavons including factor  $1/(\Lambda_1)^n$ . (i) We assume that our parameters  $\mu_\nu$ ,  $\mu_{S\nu}$ ,  $\mu_{eu}$  and  $\mu_R$  are given by some of those three scales  $\Lambda_1$ ,  $\Lambda_2$  and  $\Lambda_3$ . (ii) As a result of our selection  $\mu_A = \Lambda_a$  ( $a = 1, 2, 3$ ), if we get a scale  $\|A\|$  whose value is larger than the maximally allowed scale of  $\|A\|$ , e.g.  $\Lambda_1$  for  $\|(\hat{Y}_{eu})_\bullet\|$ ,  $\Lambda_2$  for  $\|(\Phi_\nu)_\circ\|$ , and  $\Lambda_3$  for  $\|(\bar{Y}_R)^\circ\|$ , then, the choice of  $\mu_A$  is ruled out, because the scale  $\|A\| \leq \|A\|_{max}$ . (iii) If the choice  $\mu_A = \Lambda_a$  gives a VEV value  $\|A\|$  which is two rank lower compared with  $\|A\|_{max}$ , the case is also ruled out.

For example, let us see the case (5.6):

$$\mu_{eu} \|(\hat{Y}_{eu})_{\bullet}^{\bullet}\| = \eta_{\Phi}(\Lambda_2)^2 = \eta_{\Phi}\rho\Lambda_1\Lambda_3. \quad (5.19)$$

If we take  $\mu_{eu} = \Lambda_3$ , we obtain  $[\hat{Y}_{eu}] = \eta_{\Phi}\rho\Lambda_1$  from Eq.(5.19). Since  $\|(\hat{Y}_{eu})_{\bullet}^{\bullet}\| \leq \Lambda_1$ , the case  $\mu_{eu} = \Lambda_3$  is not ruled out by the rule (ii). However, the case gives  $R_{2/1} = (\eta_{\Phi})^{-2} \sim O(1)$  from (5.12). This contradicts with the parameter fitting result (5.17). Therefore, we rule out this case  $\mu_{eu} = \Lambda_3$ . On the other hand, if we take  $\mu_{eu} = \Lambda_1$ , we obtain  $[\hat{Y}_{eu}] = \rho\Lambda_3$ . However, the value is two rank small compared with the maximal value  $\Lambda_1$ , so that we rule out the case  $\mu_{eu} = \Lambda_1$  by the rule (iii). As a result, we choose  $\mu_{eu} = \Lambda_2$ :

$$\mu_{eu} = \Lambda_2, \quad i.e. \quad \|(\hat{Y}_{eu})_{\bullet}^{\bullet}\| = \eta_{\Phi}\Lambda_2. \quad (5.20)$$

Then, from the relation (5.12), we obtain

$$\xi_R \equiv R_{2/1} = \frac{1}{(\eta_{\Phi})^2} \frac{\Lambda_2}{\Lambda_1}. \quad (5.21)$$



Similarly, in the relation (2.19):

$$\mu_\nu \|(\Phi_\nu)_\circ^\bullet\| = \eta_\Phi \frac{(\Lambda_2)^2}{\Lambda_1} \Lambda_2 = \eta_\Phi \rho \Lambda_3 \Lambda_2 = \rho \Lambda_3 \|(\Phi_e)_\circ^\bullet\|, \quad (5.22)$$

if we take  $\mu_\nu = \Lambda_3$ , we obtain  $\|(\Phi_\nu)_\circ^\bullet\| = \Lambda_2$ , i.e.  $\xi_\nu = \rho > 1$ . The result is not our desired one, because our aim is to understand tiny neutrino masses by  $\xi_\nu \ll 1$ . Therefore, we choose  $\mu_\nu = \Lambda_2$ , and we get

$$\|(\Phi_\nu)_\circ^\bullet\| = \eta_\Phi \rho \Lambda_3 = \rho \frac{\Lambda_3}{\Lambda_2} \eta_\Phi \Lambda_2 = \frac{\Lambda_2}{\Lambda_1} \|(\hat{S}_e)_\circ^\bullet\|, \quad (5.23)$$

so that

$$\xi_\nu = \frac{\Lambda_2}{\Lambda_1} = (\eta_\Phi)^2 \xi_R. \quad (5.24)$$

from (5.12).

Similarly, when we choose  $\mu_{S\nu} = \Lambda_2$  in Eq.(2.23), from

$$\mu_{S\nu}(\hat{S}_\nu)_{\bullet} = \eta_S \Lambda_1 \frac{(\Lambda_2)^2}{\Lambda_1} = \eta_S \rho \Lambda_1 \Lambda_3, \quad (5.25)$$

we obtain

$$\|(\hat{S}_\nu)_{\bullet}\| = \eta_S \frac{\Lambda_2}{\Lambda_1} \Lambda_1 = \frac{\Lambda_2}{\Lambda_1} \|\hat{S}_e\|, \quad (5.26)$$

so that

$$\xi_{S\nu} = \frac{\Lambda_2}{\Lambda_1} = (\eta_\Phi)^2 \xi_R. \quad (5.27)$$

Therefore, from the relation (2.26), we obtain

$$\xi_M = (\xi_\nu)^2 (\xi_{S\nu})^{-1} = (\eta_\Phi)^2 \xi_R. \quad (5.28)$$

Finally, we discuss a scale of  $\mu_R$ . Now, from (2.14), by regarding  $Y_R$  as  $Y_R \simeq Y_R^{1st}$ , we can write

$$\mu_R \|(\bar{Y}_R)^{\circ\circ}\| = \frac{1}{(\Lambda_1)^2} (\eta_\Phi)^2 \Lambda_2 \Lambda_1 \Lambda_2 \Lambda_2 = \rho (\eta_\Phi)^2 \Lambda_2 \Lambda_3. \quad (5.29)$$

If we take  $\mu_R = \Lambda_2$ , we obtain

$$\|(\bar{Y}_R)^{\circ\circ}\| = \rho (\eta_\Phi)^2 \Lambda_3. \quad (5.30)$$

Since  $\|(\bar{Y}_R)^{\circ\circ}\|$  cannot have a larger scale than  $\Lambda_3$ , we have a constraint

$$\rho (\eta_\Phi)^2 \leq 1, \quad i.e. \quad \rho \leq (\eta_\Phi)^{-2} = 3^{2/3}. \quad (5.31)$$

Considering the relation (4.14), it is likely that the value of  $\rho$  is given in unit of  $3^{1/3}$ . Comparing the fitting value  $\rho = 1.37$  with the value  $(\eta_\Phi)^{-1} = 3^{1/3} = 1.44$ , we regard the value of  $\rho$  as

$$\rho = \frac{1}{\eta_\Phi} = 3^{1/3}. \quad (5.32)$$

(Recall that in our scenario, we have already regarded  $|c_3/c_2| = 0.967$  as  $|c_3/c_2| = 1$ ,  $m_{0u}/m_{0e} = 3.12$  as  $m_{0u}/m_{0e} = 3$ , and so on, approximately.)

# 6 Estimate of flavon scales

Similarly to Eq.(4.1), from the diagonalization of (5.13) with the parameter values (2.21), (2.22) and (5.16), we obtain

$$(m_{\nu 1}, m_{\nu 2}, m_{\nu 3}) = (0.30892, 0.31689, 0.51026) m_{0\nu}. \quad (6.1)$$

Here, we have defined  $m_{0R}$  by

$$m_{0\nu} = (\xi_M)^2 \frac{(m_{0e})^2}{m_{0R}}. \quad (6.2)$$

From the observed value  $\Delta m_{32}^2 = 0.000244 \text{ eV}^2$  [?] and  $\Delta m_{21}^2 / \Delta m_{32}^2 = 0.0309$  [?], we estimate  $m_{\nu 3} = 0.063 \text{ eV}$ , and we obtain

$$m_{0\nu} = 1.235 \times 10^{-13} \text{ TeV}. \quad (6.3)$$

Then, from Eq.(6.2), we obtain ,

$$m_{0R} = (\xi_M)^2 \frac{(m_{0e})^2}{m_{0\nu}} = (\xi_M)^2 \times 2.7710 \times 10^7 \text{ TeV}. \quad (6.4)$$

From Eq.(5.28), we estimate

$$m_{0R} = (\eta_\Phi)^4 (\xi_R)^2 \times 2.7710 \times 10^7 \text{ TeV} = 6.158 \text{ TeV}. \quad (6.5)$$

Therefore, from (5.30) with (5.32), i.e.  $\|Y_R\| = \eta_\Phi \Lambda_3$ , we obtain

$$\Lambda_3 = (\eta_\Phi)^{-1} m_{0R} = 8.883 \text{ TeV}. \quad (6.6)$$

In conclusion, we obtain

$$\begin{aligned} \Lambda_3 &= 8.883 \text{ TeV}, \\ \Lambda_2 &= \rho \Lambda_3 / \xi_R = 1.306 \times 10^4 \text{ TeV}, \\ \Lambda_1 &= \Lambda_2 / \xi_R = 1.332 \times 10^7 \text{ TeV}. \end{aligned} \quad (6.7)$$

Finally, we estimate the value of  $\Lambda_H$  defined in (2.5). From (2.5), we use a relation

$$m_{0e} = \|\Psi_e (\hat{S}_e)^{-1} \bar{\Phi}_e\| = \frac{v_{Hd}}{\Lambda_H} (\eta_\Phi)^2 (\eta_S)^{-1} \frac{(\Lambda_2)^2}{\Lambda_1} = \frac{v_H}{\Lambda_H} (\eta_\Phi)^2 (\eta_S)^{-1} \rho \Lambda_3, \quad (6.8)$$

where  $m_{0e} = 1.8499 \times 10^{-3} \text{ TeV}$ ,  $v_H = 173.9 \times 10^{-3} \text{ TeV}$ , and  $(\eta_\Phi)^2 (\eta_S)^{-1} \rho = 3^{-2/3}$ , so that we obtain

$$\Lambda_H = 401.4 \text{ TeV}. \quad (6.9)$$

The result (6.9) gives  $(v_H)/\Lambda_H = 0.4332 \times 10^{-3}$ .

# 6. Summary

- We have constructed a mass matrix model for quarks and leptons (Yukawa model + seesaw model). We use only the observed values of charged lepton masses ( $m_e, m_\mu, m_\tau$ ) as family number-dependent input parameters.
- the VEV scales of flavons ( $\mathbf{8} + \mathbf{1}, \mathbf{1}$ ), ( $\mathbf{3}, \mathbf{3}^*$ ), and ( $\mathbf{1}, \mathbf{8} + \mathbf{1}$ ) of the model which is newly reconstructed without changing the previous phenomenological success of parameter fitting for masses and mixings of quarks and leptons. By using results of the previous parameter fitting, we conclude that VEVs of flavons ( $\mathbf{8} + \mathbf{1}, \mathbf{1}$ ), ( $\mathbf{3}, \mathbf{3}^*$ ), and ( $\mathbf{1}, \mathbf{8} + \mathbf{1}$ ) are of the orders of 10 TeV,  $10^4$  TeV, and  $10^7$  TeV, respectively. This result is consistent with speculation in Sumino's  $U(3) \times O(3)$  model.
- Our predictions are reasonable values as shown in Table 1. Especially, the  $CP$  violating phase parameters  $\delta_{CP}^q$  and  $\delta_{CP}^\ell$  in the standard expression of  $V_{CKM}$  and  $U_{PMNS}$  are predicted as  $\delta_{CP}^q \simeq 81.0^\circ$  and  $\delta_{CP}^\ell = -68.1^\circ$  respectively, i.e.  $\delta_{CP}^\ell \sim -\delta_{CP}^q$ . We also predict  $\langle m \rangle = 0.021$  [eV]